



Docket No. P31 8600

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)
WAYNE A. BORDER)
ERKKI I. RUOSLAHTI)
Serial No.: 07/416,656)
Filed: October 3, 1989)
For: INHIBITING TRANSFORMING)
GROWTH FACTOR B TO)
PREVENT ACCUMULATION OF)
EXTRACELLULAR MATRIX)

444 South Flower Street
Los Angeles, California 90071

December 6, 1989

TRANSMITTAL OF MISSING PARTS OF APPLICATION

TO THE COMMISSIONER
OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

RECEIVED

DEC 20 1989

APPLICATION BRANCH

Dear Sir:

Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney in the above-identified U.S. Patent Application.
- X 2. Surcharge Fee. Check No. 1209 for \$60.00 to cover the surcharge fee.
- X 4. A copy of Paper No. 2 Notice To File Missing Parts of Application - Filing Date Granted.

Please charge any additional fees or credit any overpayment to Deposit Account No. 16-2460. A duplicate copy of this sheet is enclosed.

Respectfully submitted

By: David G. Perryman
David G. Perryman
Reg. No. 33,438

Pretty, Schroeder
Brueggemann & Clark
444 South Flower Street
Suite 2000
Los Angeles, California 90071

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on Dec. 6 1989

By: David G. Perryman
Dec. 6 1989
Date of Signature



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILED DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
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07/41 10/03/89

BORDER

W

P318600

PRETTY, SCHROEDER, BRUEGGEHANN & CLARK
444 SOUTH FLOWER STREET, STE 2000
LOS ANGELES, CA 90071

000

11/07/89

DATE MAILED:

**NOTICE TO FILE MISSING PARTS OF APPLICATION—
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 120.00

1. ☐ The statutory basic filing fee is: ☐ missing, ☐ insufficient. Applicant as a ☐ large entity, ☐ small entity, must submit \$ _____ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☐ Additional claim fees of \$ _____ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☒ The oath or declaration:
☒ is missing.
☐ does not cover items omitted at the time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63 identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
5. ☐ The signature to the oath or declaration is: ☐ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration: _____ Applicant(s) should provide, if possible an oath or declaration signed by the omitted inventor(s), identifying this application by the above Serial Number and Filing Date. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$26.00 under 37 CFR 1.17(k), unless this fee has already been paid **NO SURCHARGE UNDER 37 CFR 1.16(e) IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$20.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned.
10. ☐ Other:

A Serial Number and Filing Date have been assigned to this application. However, to avoid abandonment under 37 CFR 1.53(d), the missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE OF \$120.00** for large entities or \$55.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned, Attention: Application Branch.

A copy of this notice MUST be returned with response.

Jaeger
For: Manager, Application Branch
(703) 557-3254

FORM PTO-1531 (REV. 7-87)

COPY TO BE RETURNED WITH RESPONSE

For Office Use Only

- | | |
|------------------------------|------------------------------|
| <input type="checkbox"/> 102 | <input type="checkbox"/> 202 |
| <input type="checkbox"/> 103 | <input type="checkbox"/> 203 |
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| <input type="checkbox"/> 105 | <input type="checkbox"/> 205 |



PATENT
Our Docket: P31 8600

#5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Border et al.)
Serial No. 07/416,656)
Filed: October 3, 1989)
For: INHIBITING TRANSFORMING)
GROWTH FACTOR β TO PREVENT)
ACCUMULATION OF EXTRACELLULAR)
MATRIX)

444 South Flower Street
Los Angeles, CA 90071
December 6, 1989

COMMUNICATION

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Dear Sir:

Applicants submitted three Verified Statements (Declaration) Claiming Small Entity Status under 37 C.F.R. 1.9(f) and 1.27(d) on December 4, 1989 and requested that these statements be made of record in the application file. One of the statements was a facsimile of the original signed Declaration. As stated in the Request for Refund, enclosed herewith is the original Declaration.

Respectfully submitted,

David G. Perryman
David G. Perryman
Registration No. 33,438

Pretty, Schroeder,
Brueggemann & Clark
444 South Flower Street
Suite 2000
Los Angeles, California 90071

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on Dec. 6, 1989

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By David G. Perryman
Dec. 6, 1989
Date of Signature



030 072 1/22/90 180 FC 181

PATENT
Our Docket: P31 8600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Border et al.)
Serial No. 07/416,656)
Filed: October 3, 1989)
For: INHIBITING TRANSFORMING)
GROWTH FACTOR B TO PREVENT)
ACCUMULATION OF EXTRACELLULAR)
MATRIX)

90 JAN -3 PM 2:27

444 South Flower Street
Los Angeles, CA 90071
December 4, 1989

RECEIVED

JAN 25 1990

REQUEST FOR REFUND

APPLICATION BRANCH

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

Attention Refund Section
Accounting Division,
Office of Finance

I hereby certify that this correspondence is being deposited
with the United States Postal Service as first class mail in an
envelope addressed to: Commissioner of Patents and Trade
marks, Washington, D.C. 20231, on Dec. 4, 1989

By

David G. Pappas

Dec. 4, 1989
Date of Signature

Dear Sir:

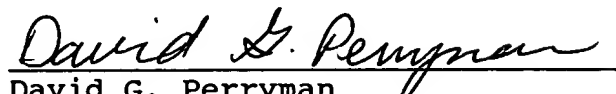
Applicants submit herewith three Verified Statements (Declaration) Claiming Small Entity Status under 37 C.F.R. 1.9(f) and 1.27(d). Applicants request that these statements be made of record in the application file. One of the statements is a facsimile of the original signed Declaration. Applicants will forward the original Declaration upon receipt. The Request for Refund is timely since December 3, 1989 fell on a Sunday.

Applicants previously paid filing fees of \$438.00. The filing fee for a small entity, including the fee for the extra claims, is

\$219.00. Therefore applicants respectfully request refund of the excess filing fee in the amount of \$219.00

The Commissioner is hereby authorized to credit any overpayment to Deposit Account No. 16-2460.

Respectfully submitted,



David G. Perryman
Registration No. 33,438

Pretty, Schroeder,
Brueggemann & Clark
444 South Flower Street
Suite 2000
Los Angeles, California 90071